

Mid-Atlantic Fishery Management Council 800 North State Street, Suite 201, Dover, DE 19901 Phone: 302-674-2331 | FAX: 302-674-5399 | www.mafmc.org Michael P. Luisi, Chairman | G. Warren Elliott, Vice Chairman Christopher M. Moore, Ph.D., Executive Director

MEMORANDUM

Date: 9/28/2017

To: Council

From: Mary Sabo

Subject: 2019-2023 Strategic Plan Development

The Council will meet on Wednesday, October 11th at 3:30 p.m. to discuss the process and timeline for development of the 2019-2023 Strategic Plan.

Background

In 2011 the Council initiated a comprehensive visioning and strategic planning process with the goal of developing a "stakeholder-driven" vision for Mid-Atlantic fisheries. During the initial phases of the project, the Council gathered feedback and recommendations from more than 1,500 stakeholders through surveys, roundtable sessions, and position letters. This input was summarized in the *Stakeholder Input Report* and presented to the Council in June 2012. The Council then established a working group to develop a draft strategic plan informed by the themes and ideas described in the report.

In August 2013, the Council approved the final 2014-2018 Strategic Plan. The plan is organized around four main goal areas: Communication, Science, Management, and Governance, each containing a series of objectives and associated strategies to achieve those objectives. Each year the Council has developed an annual implementation plan that articulates the specific activities it will undertake to achieve the goals and objectives of the strategic plan.

2019-2023 Strategic Plan Development

In 2018 the Council will enter the final year of the 2014-2018 Strategic Plan and will need to develop a new plan to guide its activities for the next five years. Development of a new strategic plan will give the Council an opportunity to review its recent accomplishments and challenges, reflect on which aspects of the plan have been effective, and identify areas for improvement. Staff has outlined a process and timeline for development of the Council's next strategic plan for 2019-2023. This process will build upon the considerable data gathering and synthesis that led to the 2014-2018 Strategic Plan and will be augmented by additional communications and community engagement.

The following documents are enclosed for Council consideration:

- Draft Timeline and Approach: 2019-2023 Strategic Plan Development
- 2014-2018 Strategic Plan

Additional information and background documents are available on the Council's website at <u>www.mafmc.org/strategic-plan</u>.

DRAFT Timeline and Approach: 2019-2023 Strategic Plan Development

| Phase/Timeframe | Activities | Outcomes |
|--|--|--|
| Phase I: Visioning/Data Gathering Estimated Timeframe: Nov 2017 – Feb 2018 | Stakeholder input gathering: Online survey Stakeholder meetings Organization position letters Advisory panel workshop (if feasible) Determine scope and role of contractor support in SP process; develop RFP and review proposals. | Wide public participation Improved understanding of key stakeholder issues Summary of stakeholder input presented at February 2018 Council Meeting Council provides initial input on strategic plan Contractor selected to support SP process. |
| Phase 2: Develop plan framework Estimated Timeframe: March – June 2018 | Strategic planning workshop (Executive Cttee) – March 2018 Review/validate vision and mission Identify broad goal areas Develop goal areas and objectives Refinement of plan framework | Strategic plan framework (mission, vision, core values, goals, and objectives) is approved at June 2018 Council Meeting Council provides input on strategies and activities to be included in plan |
| Phase 3: Develop Draft Strategic Plan Estimated Timeframe: July – Aug 2018 | Development of draft strategic plan (including activities and strategies to achieve goals and objectives) Additional Committee meeting may be held if necessary | Complete draft plan is available for Council review by July 31 Draft strategic plan is approved for public distribution at the August 2018 Council Meeting. |
| Phase 4: Review Draft Plan Estimated Timeframe: Aug – Sept 2018 | Public input solicited on draft plan | Summary of public comments is disseminated for review prior to the October 2018 Council Meeting |
| Phase 5: Finalize Strategic Plan Estimated Timeframe: Oct 2018 | Review public comments and make revisions if necessary | Final 2019-2023 Strategic Plan is adopted Council input provided on 2019 Implementation Plan |
| Phase 6: Implementation Planning Estimated Timeframe: Oct – Dec 2018 | Develop 2019 implementation plan | Final 2019 Implementation plan approved at December 2018 Council Meeting |



2014-2018 STRATEGIC PLAN

APPROVED AUGUST 2013

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Background

Marine fisheries are an important source of food, income, employment, and recreation in the Mid-Atlantic region. In 2011, the commercial fishing industry in the Mid-Atlantic harvested 858 million pounds of fish and shellfish valued at \$605 million, and more than 5 million recreational fishermen took nearly 21 million fishing trips. The commercial and recreational fishing industries also provide about 80 thousand full- and part-time jobs.

The Mid-Atlantic Fishery Management Council (also referred to as the Council, Mid-Atlantic Council, or MAFMC) is responsible for the conservation and management of fish stocks within the federal 200-mile limit of the mid-Atlantic region (North Carolina through New York).

The Mid-Atlantic Council was established in 1976 by the Fishery Conservation and Management Act (later renamed the Magnuson-Stevens Fishery Conservation and Management Act, or MSA). The law created a 200 mile Exclusive Economic Zone (EEZ), eliminated foreign fishing effort within the EEZ, and charged eight regional councils with management of fishery resources in the newly expanded federal waters.

The Council develops fishery management recommendations which must be approved by the secretary of commerce before they become final. All of the Council's fishery management recommendations must be consistent with the ten national standards as defined by the MSA.

The Council's managed fisheries include summer flounder, scup, black sea bass, bluefish, Atlantic mackerel, short-finned squid, long-finned squid, butterfish, surfclams, ocean quahogs, tilefish, spiny dogfish and monkfish. Spiny dogfish and monkfish are managed under joint fishery management plans developed in coordination with the New England Fishery Management Council (NEFMC). The Council also coordinates the management of summer flounder, scup, black sea bass, bluefish, and spiny dogfish with the Atlantic States Marine Fisheries Commission (ASMFC). The Council is composed of 25 members, including citizens from each of the seven mid-Atlantic states as well as representatives of the U.S. Fish and Wildlife Service, U.S. Coast Guard, State Department, and the Atlantic States Marine Fisheries Commission. The Council also has a full time staff which is based in Dover, Delaware. The staff assists with planning and facilitation of meetings, development of fishery management plans, coordination with other management agencies, and performing other tasks as needed by the Council. The Council also has a number of advisory bodies, including a Scientific and Statistical Committee and advisory panels for each fishery.

Over the last 36 years the Council has made significant progress toward its goals of establishing effective management programs for Mid-Atlantic fisheries and rebuilding stocks that were once overfished.

Despite the successes of rebuilding fish stocks, the Council still faces social, economic, and ecological challenges that threaten the stability and sustainability of Mid-Atlantic fisheries. The strategic planning process is critical for defining the future for the Council and has become increasingly important in the face of these many challenges. Developing a strategic plan will enable the Council to respond proactively and strategically.

STRATEGIC PLANNING OBJECTIVES

- Maintain sustainable fisheries, ecosystems, and habitats in the Mid-Atlantic;
- Address specific issues identified by the Council and its constituents;
- Improve communication with constituents and other organizations;
- Improve the Councils ability to collect and use input from constituents and management partners;
- Increase efficiency in the management process;
- Promote stability in Mid-Atlantic fisheries; and
- Establish a more proactive process for addressing management challenges.

Strategic Planning Process

Visioning

The Council developed this plan in coordination with, and with substantial input from, its stakeholders and management partners. To ensure that the plan accurately reflected the diverse interests affected by management of Mid-Atlantic fisheries, the Council engaged in a large-scale stakeholder outreach effort prior to the actual strategic planning process. The purpose of this outreach initiative, entitled the "Visioning Project," was for the Council to gain a better understanding of stakeholders' challenges and concerns as well as their visions for Mid-Atlantic fisheries.

This task was accomplished by collecting input from stakeholders through surveys, port meetings, and position letters. From September 2011 through February 2012 more than 1,500 stakeholders participated in the Visioning Project, offering a broad range of ideas and recommendations for improving management of Mid-Atlantic fisheries. This input was summarized in the *Stakeholder Input Report* which was presented to the Council and distributed to the public in June 2012.

KEY THEMES OF THE VISIONING PROJECT

- There is a lack of confidence in the data that drive fishery management decisions.
- Stakeholders are not as involved in the Council process as they can and should be.
- Different jurisdictions and regulations among the many fishery management organizations result in complexity and inconsistency.
- There is a need for increased transparency and better communication in the fisheries management process.
- The dynamics of the ecosystem and food web should be considered to a greater extent in fisheries management decisions.
- Stakeholders are not adequately represented on the Council.
- Pollution is negatively affecting the health of fish stocks.

The Visioning phase of the project was completed with assistance from S.R.I. International.

Strategic Planning

In July 2012, the Council established a working group to spearhead the strategic planning process. The working group was made up of Council members, leaders of management partner organizations, and stakeholders representing commercial, recreational, environmental, and regional planning interests.

From August through December 2012 the group met five times to develop the components of a draft strategic plan. During the first meeting, the working group reviewed the *Stakeholder Input Report* and agreed to a framework of 7 themes based on the top issues and concerns expressed by stakeholders. These themes science, governance, regulatory process, communication, social and economic considerations, ecosystems, and management strategies—were used throughout the planning process to guide the development of goals, objectives, and strategies for the plan. These seven themes were eventually reduced to four priority areas: (1) Communication, (2) Governance, (3) Science, and (4) Management.

The strategic planning process was facilitated by RESOLVE Consulting.

Mission, Vision, Core Values, and Goals

Vision

Healthy and productive marine ecosystems supporting thriving, sustainable marine fisheries that provide the greatest overall benefit to stakeholders.

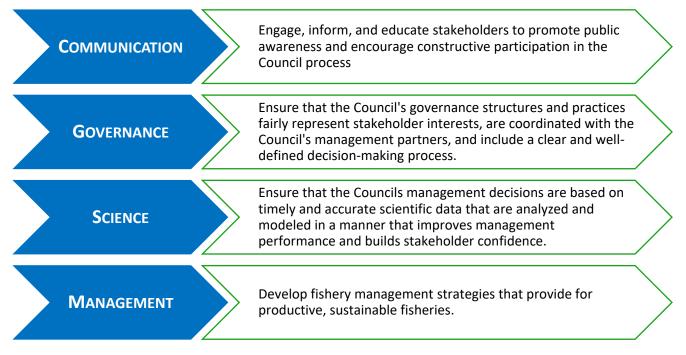
Mission

The Council manages marine fisheries in federal waters of the Mid-Atlantic region for their long-term sustainability and productivity consistent with the National Standards of the Magnuson-Stevens Fishery Conservation and Management Act. The Council is committed to the effective stewardship of these fisheries and associated habitats by incorporating scientific information and informed public input in transparent processes that produce fishery management plans and programs.

Core Values

- Stewardship
- Integrity
- Effectiveness
- Fairness
- Competence
- Clear Communication

2014-2018 Strategic Goals



Communication

| <u>Goal</u> : Engage, inform, and educate stakeholders to promote public awareness and encourage constructive participation in the Council process. | | |
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| Objective 1. Develop and implement a strategic communications plan to provide clear and accurate | Strategy 1.1. | Employ a variety of written, visual, and oral communication methods appropriate for a diverse audience of stakeholders. |
| | Strategy 1.2. | Expand the use of technology to streamline the communication process. |
| information to a broad range of stakeholders. | Strategy 1.3. | Use the Council's website to provide stakeholders with easy access to accurate and up-to-date information. |
| | Strategy 1.4. | Provide stakeholders with timely news and updates via email distribution list and website. |
| | Strategy 1.5. | Ensure that all communication products meet the federal plain language guidelines. |
| | Strategy 1.6. | Develop communication templates and communication guidelines for staff and Council members. |
| Objective 2. | Strategy 2.1. | Conduct a stakeholder analysis to identify target audiences for Council communications. |
| Engage a diverse audience of stakeholders. | Strategy 2.2. | Develop and maintain a database of stakeholder contact information, including a list of key industry leaders. |
| | Strategy 2.3. | Coordinate communication efforts with management partners and other organizations to reduce redundancy and expand the distribution of messages to a broader audience. |
| | Strategy 2.4. | Use targeted communication to increase the number of stakeholders in the Council's contact database. |
| Objective 3. | Strategy 3.1. | Provide conference lines or Webinar access to Council and advisory body meetings whenever it is feasible. |
| Increase stakeholder trust and facilitate greater stakeholder engagement by making the | Strategy 3.2. | Maintain an online calendar of meetings and events with links to meeting materials and supplemental information. |
| Council process accessible and transparent. | Strategy 3.3. | Establish a consistent process for collecting and analyzing public input and incorporating it into the decision-making process. |
| | Strategy 3.4. | Summarize public comments received on specific actions and explain how public input was used in management decisions. |
| | Strategy 3.5. | Ensure that briefing books, presentations, and other meeting documents provide sufficient background information to be understood by the general public. |

| Objective 4. Increase awareness and understanding of fishery science and management. | Strategy 4.1. | Partner with academic institutions and non-governmental organizations to develop workshops and other interactive educational opportunities for stakeholders. |
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| | Strategy 4.2. | Collaborate with academic and research institutions to develop outreach materials that explain fisheries science and data collection. |
| | Strategy 4.3. | Develop plain-language outreach materials to educate the public about the Council's legislative mandates and the fisheries management process. |
| | Strategy 4.4. | Use the results of the Visioning Project to promote general public understanding of fisheries science and management. |
| Objective 5. Increase stakeholder involvement in the development of fishery management actions. | Strategy 5.1. | Hold workshops to facilitate collaborative development of innovative management approaches among fishermen, managers, and scientists. |
| | Strategy 5.2. | Identify and implement additional opportunities for stakeholders to ask questions and make general comments. |
| | Strategy 5.3. | Ensure that meetings are advertised and conducted in such a way that encourages and enables stakeholder attendance and participation. |
| | Strategy 5.4. | Provide stakeholders with sufficient background information to provide constructive input |
| | Strategy 5.5. | Educate stakeholders about the Council process. |
| | Strategy 5.6. | Utilize an informal, small group meeting format to gather input from "hard to reach" interests via Listening Sessions or Q&A sessions. |
| | Strategy 5.7. | Ensure that scoping and public hearings are held in locations with high concentrations of interested stakeholders. |
| | Strategy 5.8. | Use targeted communication methods to solicit public input on management actions. |

Science

| | accurate scientific data that are analyzed and modeled in a manner that improves management performance and builds stakeholder confidence. | | |
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| Objective 6. Promote the collection and | Strategy 6.1. | Evaluate current data collection, monitoring, and reporting programs for the Council's managed fisheries and associated data needs. | |
| analysis of accurate and timely scientific data to support the Council's management plans | Strategy 6.2. | Develop a comprehensive research plan that prioritizes the Council's data and research needs. | |
| and programs. | Strategy 6.3. | Coordinate with the NEFSC to develop research plans that include specific timelines and goals (i.e. roadmap) that produce acceptable benchmark assessments for all of the Council's managed species. | |
| | Strategy 6.4. | Enhance the Council's Research Set-Aside Program to support the Council's research needs. | |
| | Strategy 6.5. | Evaluate the use of the Atlantic Coastal Cooperative Statistics Program data and protocols for their potential utility in Council management decisions. | |
| | Strategy 6.6. | Encourage the science center to conduct a risk assessment to identify the potential threats of climate change on our marine ecosystem. | |
| Objective 7. Improve our understanding of the social and economic | Strategy 7.1. | Perform a gap analysis to identify areas where additional or improved social and economic data collection and analysis are necessary. | |
| the social and economic dimensions of Mid-Atlantic fishing communities. | Strategy 7.2. | Support the collection of relevant economic and social data to produce analyses that meets current and future Council needs. | |
| | Strategy 7.3. | Develop partnerships with research institutions (e.g., Sea Grant) with the expertise to collect social and economic data to support the Council's management objectives. | |
| | Strategy 7.4. | Develop terms of reference for the SSC to develop social and economic metrics for analysis of management alternatives. | |
| Objective 8. Promote the collection and analysis of data needed to support the Council's transition to an Ecosystem Approach to Fisheries Management. | Strategy 8.1. | Encourage the collection of habitat data that will support methodologies and subsequent management measures that link habitat protection more directly to increased fish production. | |
| | Strategy 8.2. | Support the collection of oceanographic data that support the development of an ecosystem approach to fisheries management and support management decisions related to climate change and ocean acidification. | |
| | Strategy 8.3. | Coordinate with the NEFSC to conduct a regional evaluation of species interactions within the marine ecosystem. | |
| | Strategy 8.4. | Fully consider species interactions in the assessment process and in the determination of catch limits. | |

| Objective 9. Encourage effective stakeholder participation in data collection and analysis. | Strategy 9.1. | Seek funding for expansion of study fleet and other similar types of programs, and work with our management partners to secure long-term funding for the NEAMAP survey. |
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| | Strategy 9.2. | Promote and expand opportunities for cooperative and collaborative research and surveys in the Mid-Atlantic region. |
| | Strategy 9.3. | Encourage the collection and use of real-time commercial data to support stock assessment and management decisions. |
| | Strategy 9.4. | Explore the potential use of volunteer angler data in recreational management decisions. |
| Objective 10. Promote efficient and accurate methods of monitoring and reporting. | Strategy 10.1. | Support increased at-sea observer coverage in Mid-Atlantic fisheries. |
| | Strategy 10.2. | Support the development of innovative technologies (e.g., electronic monitoring, smart phones, etc.) to improve the accuracy and/or efficiency of data collection. |
| | Strategy 10.3. | Support the development and implementation of electronic VTRs / log books in the commercial and for-hire sectors. |
| | Strategy 10.4. | Effectively communicate stakeholders' concerns or recommendations regarding monitoring/observing to the NEFSC. |
| | Strategy 10.5. | Seek legislative solutions to expand observer funding options. |

Management

| Goal:Develop fishery management strategies that provide for productive, sustainable fisheries. | | |
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| Objective 11. Evaluate the Council's fishery management plans. | Strategy 11.1. | Establish a timeline for FMP review. |
| | Strategy 11.2. | Review and update FMP objectives as appropriate to ensure that they remain specific, relevant, and measurable. |
| | Strategy 11.3. | Develop a list of performance variables that can be used to track FMP performance. |
| | Strategy 11.4. | Develop a performance management system that tracks the progress of management decisions and their impacts. |
| Objective 12. Incorporate economic and social analysis of management | Strategy 12.1. | Include Terms of Reference that will allow a Fishery Management Action Team to identify and consider relevant economic and socio- cultural issues in the development and analysis of management alternatives. |
| alternatives into the decision- making process. | Strategy 12.2. | Develop a process to formally compare economic and social impacts of management alternatives prior to Council decisions. |
| | Strategy 12.3. | Support the development of bioeconomic models to evaluate allocation strategies and management measures. |
| | Strategy 12.4. | Evaluate the cumulative social and economic impacts of proposed and existing management alternatives. |
| Objective 13. | Strategy 13.1. | Consider the development of multi-year management approaches for all managed species. |
| Develop management strategies that enable efficient operation of commercial and | Strategy 13.2. | Support the development of a Magnuson-Stevens Act certification program and label for U.S. harvested fish. |
| recreational fishing businesses. | Strategy 13.3. | Consider energy efficiency in the development of management measures. |
| | Strategy 13.4. | Support the development of innovative gear designs for commercial fisheries to increase efficiency, reduce discards, and reduce costs. |
| Objective 14. | Strategy 14.1. | Develop management approaches that account for uncertainty in recreational catch estimates. |
| Develop innovative management strategies for recreational and commercial fisheries. | Strategy 14.2. | Support the development of models and analyses that evaluate alternative bag, size, and seasonal limits. |
| | Strategy 14.3. | Review and revise current approaches to management of recreational fisheries that consider governance issues, data limitations, and differences among fishing modes. |
| | Strategy 14.4. | Develop management measures to reduce regulatory discards. |
| | Strategy 14.5. | Develop management strategies that ensure fair access to recreational fisheries throughout their range. |

| Objective 15. Advance ecosystem approaches to fisheries management in the Mid- Atlantic. | Strategy 15.1. | Complete and implement the "Ecosystem Approach to Fisheries Management Guidance Document." |
|---|----------------|--|
| | Strategy 15.2. | Incorporate consideration of species interactions into fishery management plans and coordinate these considerations across appropriate management plans. |
| | Strategy 15.3. | Determine and incorporate the relationship between essential fish habitat and productivity of marine resources into management decisions. |
| | Strategy 15.4. | Develop regional workshops that consider the various aspects of ecosystem approaches to management. |
| | Strategy 15.5. | Develop management approaches that minimize adverse ecosystem impacts. |

Governance

| <u>Goal</u> : | Ensure that the Council's governance structures and practices fairly represent stakeholder interests, are coordinated with the Council's management partners, and include a clear and well-defined decision-making process. | | |
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| Objective 16. Establish a formal decision- making process for the development and evaluation of management actions. | al decision- | Strategy 16.1. | Evaluate the Council's existing decision-making process and identify areas of ambiguity, inefficiency, and inconsistency. |
| | nd evaluation of | Strategy 16.2. | Increase consistency in the process for developing amendments, frameworks, and specification documents. |
| | | Strategy 16.3. | Enhance the process for incorporating scientific data into the evaluation of management alternatives. |
| | | Strategy 16.4. | Establish a consistent process for evaluating and incorporating stakeholder input in the decision-making process. |
| Objective 17. Develop and strengthen partnerships to promote greater efficiency and enhance coordination among management partners and other relevant organizations. | Strategy 17.1. | Establish new and strengthen existing relationships with organizations involved with water quality, wind energy, waste management, marine transportation, and other marine related issues in the Mid-Atlantic region. | |
| | nong artners and other | Strategy 17.2. | Initiate the development of a comprehensive project in cooperation with the other East coast management agencies to address the management implications associated with shifts in species distribution resulting from climate change. |
| | | Strategy 17.3. | Develop operating agreements with the National Marine Fisheries Service and the Atlantic States Marine Fisheries Commission to strengthen coordination and clarify roles and responsibilities. |
| | | Strategy 17.4. | Participate in regional planning initiatives associated with the National Ocean Council, Mid-Atlantic Regional Council on the Ocean, and the Northeast Regional Ocean Council. |
| | | Strategy 17.5. | Coordinate with BOEM to ensure that fisheries impacts and concerns are effectively addressed in the offshore energy development process. |
| Objective 18. Ensure that stakeholder interest are accurately understood and meaningfully considered in the Council process. | seholder interests | Strategy 18.1. | Regularly evaluate the composition of committees and advisory bodies to ensure a balanced representation of interests. |
| | inderstood and insidered in the | Strategy 18.2. | Use advisory bodies and stakeholder input to inform the decision- making process and actively monitor changing conditions in the fisheries and ecosystem. |

Conclusion

Achieving a balance between biophysical, ecological, and socioeconomic objectives will continue to be a challenging aspect of fisheries management as the Council works to attain a vision of a healthy, responsive, and sustainable future for Mid-Atlantic fisheries. The greatest opportunity for progress can be found in the evaluation of our past successes and mistakes, seeking insight into the challenges of our present, assessing and understanding evolving dynamics within the marine ecosystem, and identifying opportunities for addressing these challenges in the years to come. The visioning and strategic planning efforts over the last two years have given the Council an opportunity to do just that—identify successes and failures of the past and translate that knowledge into a better future for our managed fisheries.

Looking forward, the Council's managed fisheries face uncharted impacts and consequences associated with climate change and large scale offshore wind energy development. In order to deal effectively with these future challenges, the Council will have to leverage all of its relationships with its management partners and stakeholders, relying on each for their respective expertise and information for possible solutions. Assessing and managing these risks will not happen automatically; rather, it will require diligent planning and close internal and external working relationships at the Council level.

Based on the strategic plan, it is anticipated that the Council will actively seek greater stakeholder engagement and involvement in the Council process, set more specific social and economic management objectives, establish more effective review processes for management plans, and improve the transparency of Council operations. Building public confidence in the management process will ultimately require a sustained commitment to excellence and continuous improvements from the first point of data collection to final decision-making.